

Disclosure with respect to compliance with Annual compliance audit requirement under Regulation 19(3) of SEBI(Investment Advisers) Regulations,2013 for last and current financial year are as under :

Sr. no	Financial Year	Compliance Audit Status	Remarks, If any
1	FY 2020-21	Not Applicable	NA
2	FY 2021-22	Conducted	The Principal Officer has been advised to register and clear the NISM certification at the earliest available date for the examination. Accordingly, registration has been done by the Principal Officer and the certification was cleared.
3	FY 2022-23	Under Process	NA